Patent

Attorney Docket: 844,004-308

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

a from the

Group Art Unit: 2859

Robert B. Hammond et al.

Examiner: 1. arANA

Serial No.: Not Yet Assigned (Cont of Serial No. 10/393,089)

Filed: Herewith

(Parent filed March 19, 2003)

For: TUNABLE SUPERCONDUCTING RESONATOR AND METHODS OF

TUNING THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO/SB/08A provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form PTO/SB/08A, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail Post Office to Addressee in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Denise Doss

Express Mail No. EV337191195US

April 12, 2004 Date of Deposit IR1:1054087.1

Name of Person Mailing Paper Signature of Person Mailing Paper

In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO/SB/08A are not enclosed herewith because they were previously cited by or submitted to the Patent and Trademark Office in prior copending or related applications for which a claim for priority under 35 U.S.C. §120 has been made in the instant application. Accordingly, Applicants will-provide-duplicate-copies-in-respect-of-the-present-case_only_if_the_Examiner_so_desires.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-2862.

Respectfully submitted,

O'MELVENY & MYERS LLP

4112104 Dated:

By:

David B. Murphy Reg. No. 31,125

Attorneys for Applicant

DBM/dnd

O'Melveny & Myers LLP 114 Pacifica, Suite 100 Irvine, CA 92618-3315 (949) 737-2900

PATENT TRADEMARK OFFICE

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

uired to respond to a collection of information unless it contains a valid OMB control pumber. Under the Paperwork Reduction Act of 1995, no persons are

| Substitute for form 1449A/PTO | | | | Complete if Known | | |
|-------------------------------|------------------|---------|------------|------------------------|-------------------|--|
| | | | | Application Number | Not Yet Available | |
| | | | CLOSURE | Filing Date | April 12, 2004 | |
| STAT | TEMENT B | Y AF | PPLICANT | First Named Inventor | Robert B. Hammond | |
| | | | | Group Art Unit | Not Yet Available | |
| | (use as many she | eets as | necessary) | Examiner Name | Not Yet Available | |
| Sheet | 1 | of | 2 | Attorney Docket Number | 844004-308 | |

| | U.S. Patent Document | | Name of Patentee or Applicant | Date of Publication of Cited Document MM-DD-YYYY |
|---------------------|--|------------|-------------------------------|---|
| Examiner Initials * | Number Kind Code ² (if known) | | of Cited Document | |
| | US-3857114 | (II KNOWN) | Minet et al | 12/24/74 |
| | US-4981838 | | Whitehead | 01/01/91 |
| | US-5231078 | | Riebman et al | 07/27/93 |
| | US-5276398 | | Withers | 01/04/94 |
| | US-5307068 | | Hartemann | 04/26/94 |
| | US-5328893 | | Sun et al | 07/12/94 |
| | US-5391543 | | Higaki et al | 02/21/95 |
| | US-6347237 | | Eden et al | 02/12/02 |

| Examiner Initials* | Foreign Patent Document | | | Name of Patentee or | Date of Publication of Cited | | |
|-----------------------|-------------------------|---------------------|---|--------------------------------|------------------------------|-----------------------|--|
| | Office ³ | Number ⁴ | Kind Code ⁵ (<i>if known</i>) | Applicant of Cited Document | Document MM-DD-YYYY | T ₆ | |
| | | | | | | | |
| | | | | | | | |
| | | · · · | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | 1 | | + | | |

| Examiner Initials * | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume–issue number(s), publisher, city and/or country where published. | T 2 |
|------------------------|---|-----|
| | COHN, "Parallel-Coupled Transmission Line Resonator Filters", IRE Transactions On Microwave Theory & Techniques", MTT-6, April 1958, 223-231. | |
| | DURIEZ, et al., "Structural Characterization Of MgO(100) Surfaces, Surface Science, 230, 1990, 123-126. | |
| | HAMMOND, et al., "Epitaxial Tl ₂ CaBa ₂ Cu ₂ O ₈ Thin Films With Low 9.6 GHz Surface Resistance At High Power & Above 77K", Appl. Phys. Lett., 57, 8, August 20, 1990, 825-827. | |
| | HAMMOND, et al., "Superconducting TI-Ca-Ba-Cu-O Thin Film Microstrip Resonator & Its Power Handling Performance At 77K", IEEE MTT-S Digest, V-5, 1990, 867-870. | |
| | SAGAWA, et al., "Miniaturized Hairpin Resonator Filters & Their Application To Receiver Front-End MIC's", IEEE Transactions On Microwave Theory & Techniques, 37, 12, December 1989, 1991-1997. | |
| | SCHIFFMAN, et al., "Exact Design Of Band-Stop Microwave Filters", IEEE Transactions On Microwave Theory & Techniques, MTT-12, 1, January 1964, 6-15. | |
| | TALISA, et al., "Low- and High-Temperature Superconducting Microwave Filters", IEEE Transactions On Microwave Theory & Techniques, 39, 9, September 1991, 1448-1454. | |
| | WINTER, et al., "High Dielectric Constant Strip Line Band Pass Filters", IEEE Transactions On Microwave Theory & Techniques, 39, 12, December 1991, 2182-2187. | |

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known Application Number Not Yet Available INFORMATION DISCLOSURE April 12, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Robert B. Hammond **Group Art Unit** Not Yet Available (use as many sheets as necessary) **Examiner Name** Not Yet Available Sheet 2 844004-308 Attorney Docket Number

| | Examiner Initials * | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item =(book;-magazine;-journal;-serial;-symposium,_catalog,_etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T 2 |
|---|---------------------|--|-----|
| l | | YOUNG, et al., "Microwave Band-Stop Filters With Narrow Stop Bands", IRE Transactions On Microwave Theory & Techniques, November 1962, 416-427. | |

| Examiner | Date | |
|------------|------------|---|
| Signature | Considered | _ |
| olgitatare | Considered | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.